Appendix E: Assurance summary table

	Audit / assurance / assessment requirement	Who is this for?	Which auditors can be used?	Where can I find these auditors?	What is the process for these auditors to be approved?	Useful documents
Track A	Alignment assessment of an internal or external standard against the OECD Due Diligence Guidance	External standards or internal standards wishing to become a Recognised Alignment-Assessed Standard	Any Recognised Alignment Assessors on the LME approved list	On the LME website	Completion of the LME's alignment assessment company and lead alignment assessor application forms	Alignment Assessor company application / Alignment Assessor
	Audit of brand compliance with an internal Recognised Alignment-Assessed Standard	Brands using an internal standard under Track A	1) Any Recognised Auditor on the LME approved list 2) Any auditor approved by an external Recognised Alignment-Assessed Standard	1) On the LME website 2) On the website of the external Recognised Alignment-Assessed Standard	1) Completion of the LME's audit company and lead auditor application forms 2) As set out by the external Recognised Alignment-Assessed Standard	Audit company / Lead Auditor
	Audit of brand compliance with an external Recognised Alignment-Assessed Standard	Brands using an external standard under Track A	Any auditor approved by the external Recognised Alignment-Assessed Standard being used for Track A compliance	On the website of the external Recognised Alignment-Assessed Standard	As set out by the relevant external Recognised Alignment-Assessed Standard	N/A
Track B	Audit of brand completion of a Red Flag Assessment ("RFA")	Brands using the Audited LME RFA Track (Track B)	Any Recognised Auditor on the LME approved list	On the LME website	Completion of LME's audit company and lead auditor application forms	Audit company / Lead Auditor
ISO 14001 and ISO 45001	Audit of brand compliance with ISO requirements	Brands using ISO 14001 and ISO 45001 to meet environmental and occupational health management requirements	Any auditor accredited by an ISO member certification body	Auditor accreditations are not issued by ISO, but by national / international ISO member certification bodies, which provide lists of approved auditors	As set out by ISO	Appendix D
	Assessment of equivalence of a Certification Programme against the ISO requirements	Certification Programme Owners wishing for a Certification Programme to be approved as an Equivalent Certification Programme	Any auditor accredited by an ISO member certification body, with LME review prior to work starting	Auditor accreditations are not issued by ISO, but by national / international ISO member certification bodies, which provide lists of approved auditors	As set out by ISO, plus an email introduction to the LME with qualifications	
	Audit of brand compliance against an Equivalent Certification Programme	Brands using Equivalent Certification Programmes to meet their environmental and occupational health management requirements	1) Any auditor approved by the ECP 2) Any auditor accredited by an ISO member certification body	1) On the website of the ECP 2) On the ISO website: https://www.iso.org/certification.html	1) As set out by the ECP 2) As set out by ISO	