

Audit / assurance / assessment requirement		Who is this for?	Which auditors can be used?	Where can I find these auditors?	What is the process for these auditors to be approved?	Useful documents
Track A	Alignment assessment of an internal or external standard against the OECD Due Diligence Guidance	External standards or internal standards (owned by Brands) wishing to become a Recognised Alignment-Assessed Standard	Any Recognised Alignment Assessors on the LME approved list	On the LME website	Completion of the LME's alignment assessment company and lead alignment assessors application forms	Assessor docs and link
	Audit of brand compliance with an internal Recognised Alignment-Assessed Standard	Brands using an internal standard under Track A	1) Any Recognised Auditor on the LME approved list 2) Any auditor approved by an external Recognised Alignment-Assessed Standard (provided they are in the same metal category as the Brand)	1) On the LME website 2) On the website of the external Recognised Alignment-Assessed Standard	1) Completion of the LME's audit company and lead auditor application forms 2) As set out by the external Recognised Alignment-Assessed Standard	Auditor docs + link
	Audit of brand compliance with an external Recognised Alignment-Assessed Standard	Brands using an external standard under Track A	Any auditor approved by the external Recognised Alignment-Assessed Standard being used for Track A compliance	On the website of the relevant external Recognised Alignment-Assessed Standard	As set out by the relevant external Recognised Alignment-Assessed Standard	N/A
Track B	Audit of brand completion of a Red Flag Assessment ("RFA")	Brands using the Audited LME RFA Track (Track B)	Any Recognised Auditor on the LME approved list	On the LME website	Completion of LME's audit company and lead auditor application forms	Auditor docs + link
Track C	Review of brand completion of a Red Flag Assessment	Brands using the Published LME RFA Track (Track C)	LME reviews the completed RFA	N/A	N/A	N/A
ISO 14001 and ISO 45001 / OHSAS 18001	Audit of brand compliance with ISO / OHSAS requirements	Brands using ISO 14001 and ISO 45001 (or OHSAS 18001) to meet environmental and occupational health management requirements	Any auditor accredited by an ISO member certification body	Auditor accreditations are not issued by ISO, but by national / international ISO member certification bodies which provide lists of approved auditors. The LME and ISO websites include a link to a list of such certification bodies	As set out by ISO or OHSAS. Brands should disclose to the LME to which ISO member certification body it belongs	ISO Guidance Note + link and auditor docs and link
	Assessment of equivalence of a Certification Programme against the ISO / OHSAS requirements	Certification Programme Owners or Brands in collaboration with a Certification Programme Owner wishing for a Certification Programme to be approved as an Equivalent Certification Programme	1) Any Recognised Auditor on the LME approved list 2) Any auditor approved by an external Recognised Alignment-Assessed Standard 3) Any auditor accredited by an ISO member certification body	1) On the LME website 2) On the website of the external Recognised Alignment-Assessed Standard 3) Using the link on the LME website, or on the ISO website	1) Completion of LME's audit company and lead auditor application forms 2) As set out by the external Recognised Alignment-Assessed Standard 3) As set out ISO	
	Audit of brand compliance with an Equivalent Certification Programme	Brands using Equivalent Certification Programmes to meet their environmental and occupational health management requirements	1) Any Recognised Auditor on the LME approved list 2) Any auditor approved by an external Recognised Alignment-Assessed Standard 3) Any auditor accredited by an ISO member certification body	1) On the LME website 2) On the website of the external Recognised Alignment-Assessed Standard 3) Using the link on the LME website, or on the ISO website	1) Completion of LME's audit company and lead auditor application forms 2) As set out by the external Recognised Alignment-Assessed Standard 3) As set out by ISO	

Capitalised terms not otherwise defined in this table shall have the meanings ascribed to them in the LME Policy on Responsible Sourcing of LME-Listed Brand ("the Policy"). In case of discrepancy, the Policy shall prevail over this table