

Derived Data Questionnaire

Customers who would like to receive LME data for the purpose of manipulating it or combining it with other data in order to create derived information or a derived product, such as an index, may be subject to a separate licence agreement.

The information provided in this questionnaire will be used to determine whether the applicant intends to or has already created a derived data product, and, if so, draft the derived data licence as applicable.

Date	
Company Name:	
Contact Name:	
Contact Email:	

1. Please select which below best describes your company (more than one may be applicable):

Type of Firm			
LME Member	<input type="checkbox"/>	ETP Issuer	<input type="checkbox"/>
Bank / Financial Institution	<input type="checkbox"/>	ISV	<input type="checkbox"/>
Data Vendor	<input type="checkbox"/>	Broker	<input type="checkbox"/>
Exchange	<input type="checkbox"/>	CFD Provider	<input type="checkbox"/>
Index Provider	<input type="checkbox"/>	Hedge Fund	<input type="checkbox"/>
Calculating Agent	<input type="checkbox"/>	Other (please specify)	<input type="checkbox"/>
Are you a "supervised entity" (as defined in the European Benchmarks Regulation)?	<input type="checkbox"/>		

2. Do you intend to request to list any affiliates under the Agreement? If so, please provide further details.

Entity Name	Address	Relationship to Licensee



3. Type(s) of derived product to be created:

Type of Product	
Index	
CFD	
Exchange Traded Product (ETPs) ¹	
Exchange Traded Funds (ETFs)	
Other	

4. Please provide any additional information about your derived products, or send supporting information.

Product Name (if known)	Description	Launch Date

¹ For the avoidance of doubt, this includes any form of financial instrument for which a request for admission to trading on a trading venue has been made or will be made. "Trading venue" means a MiFID II trading venue; being a regulated market, multilateral trading facility or organised trading facility located in the European Union or the UK, or any facility, market or platform that would meet the definitions but which is located elsewhere in the World.

5. Which metals and datasets do you intend to use in the creation of the derived product (please tick all that apply)?

LME Data											
	Commitment of Traders	Evening Evaluations	Futures Banding	Futures Volumes	LMeselect prices	Matched Trades	Monthly Averages	Official & Settlement Price	Open Interest	Warehouse Stock Movements	Warrant Holdings
Aluminium											
Aluminium Alloy											
Aluminium Premiums											
Cobalt											
Copper											
Lead											
Nickel											
Tin											
Zinc											

Third Party Data			
	AM Auction	PM Auction	Volumes
LBMA Palladium			
LBMA Platinum			

6. Please complete the below information about your derived product / intended product, for all product types:

6.1	From where and from whom do / will you source your LME data?	
6.2	Is the derived product calculated on the basis of an equation? If so, please provide details.	
6.3	Approx. LME weighting (%)?	
6.4	Are there other components of derived product?	
6.5	When is / will the derived product be calculated? (GMT)	
6.6	How often will the derived product be calculated?	
6.7	When and where is / will the derived product be published? (GMT or local time – please specify)	
6.8	Will the underlying price be distributed? If so, on what delay?	
6.9	Is / will the derived product be sub-licensed to third parties (for example, licensing an index to an	



	ETF or providing a white labelled solution)?	
6.10	Is / will a third party be used to calculate the derived product? If so, who?	
6.11	Is / will the LME name / Trademark be used in materials relating to the derived product?	
6.12	Do you have any planned variations of the derived product (for example, a sub-index)?	
6.13	Do you require regulatory approval to market and sell the derived products? If so, please provide further details.	

7. Where the Derived Product is a CFD, ETP or ETF, please complete the below information about your derived product / intended product:

7.1	Is / will the derived product list on a venue (including exchanges, MTFs, OTFs and non-EU equivalent infrastructure)? If yes, where?	
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8. Where the Derived Product is an Index, please complete the below information about your derived product / intended product:

8.1	What is the anticipated purpose of the index?	
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9. BMR Benchmarks – please complete for all products:

9.1	Is / will the underlying LME price be distributed? If the answer is yes, do you anticipate falling	
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	within the definition of “index provider” pursuant to the EU Benchmarks Regulation? If not, why not.	
9.2	Will the index be used to determine:	
	<ul style="list-style-type: none">the amount payable under a financial instrument or a financial contract?	
	<ul style="list-style-type: none">the value of a financial instrument?	
	<ul style="list-style-type: none">the performance of an investment fund with the purpose of tracking the return of such index?	
	<ul style="list-style-type: none">the asset allocation of a portfolio?	
	<ul style="list-style-type: none">the performance fees of a portfolio?	

10. Please provide any additional details that you feel are relevant for the derived product’s methodology, including examples; or attach draft prospectus / methodology documentation. If there are online product materials, please provide the URLs below.

Please email your completed questionnaire and provide any supporting documentation (such as a prospectus or methodology) to Market.Data@lme.com. Any and all information provided within this questionnaire will be treated as confidential by the LME.



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