LME An HKEX Company

Derived Data Questionnaire

Date:	
Company Name:	
Contact Name:	
Contact Email:	

1. Please select which below best describes your company (more than one may be applicable)

Type of Firm			
LME Member	[ ]	ETP Issuer	[ ]
Bank / Financial Institution	[ ]	ISV	[ ]
Data Vendor	[ ]	Broker	[ ]
Exchange	[ ]	CFD Provider	[ ]
Index Provider	[ ]	Hedge Fund	[ ]
Calculating Agent	[ ]	Other (please specify)	[ ]

2. Type of derived product to be created

Type of Product	
Index	[ ]
CFD	[ ]
Derived Strategies	[ ]
Exchange Traded Product (ETP)	[ ]
Other	[ ]

Please provide additional information about your derived product or send supporting information.

Product Name (if known)	**Description**	**Launch Date**

3. Which metals and datasets do you intend to use in the creation of the derived product?

LME Data			
Aluminium	[ ]	Asian Reference Price	[ ]
Aluminium Alloy	[ ]	Commitment of Traders Report	[ ]
Copper	[ ]	Matched Trades Reports	[ ]
Lead	[ ]	Open Interest Reports	[ ]
Nickel	[ ]	Futures Banding Reports	[ ]
Tin	[ ]	Futures Trading Volume Reports	[ ]
Zinc	[ ]	Indicative Evening Evaluations	[ ]
NASAAC	[ ]	LMEselect Prices	[ ]
Steel Rebar	[ ]	Monthly Average Price	[ ]
Steel Scrap	[ ]	Official & Settlement Prices	[ ]
Cobalt	[ ]	Evening Evaluations (Closing Prices)	[ ]
Molybdenum	[ ]	Unofficial Prices	[ ]
Gold	[ ]	Warehouse Stock Reports	[ ]
Silver	[ ]	Warrant Reports	[ ]
LMEX	[ ]	Other	[ ]
Third Party Data			
LBMA Platinum	[ ]	AM Auction	[ ]
LBMA Palladium	[ ]	PM Auction	[ ]

4. Please complete the below information about your derived product / intended product

Where do / will you source your LME data?	
When is / will the derived product be calculated? (GMT)	
When and where is / will the derived product be published? (GMT or local time – please specify)	
Approx. LME weighting (%)?	
Other components of derived product?	
Is / will your derived product be a tradable or investible product?	
Do you have any planned variations of the derived product? (for example, a sub-index)	
Is / will the derived product list on a regulated Exchange? (If yes, where)	
Is / will the underlying LME price intended to be distributed?	
Is / will the derived product be sub-licensed to third parties? (for example, licensing an index to an ETF or providing a white labelled solution)	
Is / will a third party be used to calculate the derived product? If so, who?	
Is / will the LME name / Trademark be used in materials relating to the derived product?	
Who / where is your intended target customer audience? (for example, retail, institutional investors etc. / geographic availability)	

4. Please provide any additional details that you feel are relevant for the derived product's methodology, including examples; or attach draft prospectus / methodology documentation. If there are online product materials, please provide the URLs below.

5. Do you require regulatory approval to market and sell the derived product? (Yes/No)

If yes, please provide details

Please email your completed questionnaire and provide any supporting documentation (such as a prospectus or methodology) to Market.Data@lme.com. Any and all information provided within this questionnaire will be treated as Confidential by the LME.

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