

**Registered Intermediating Broker Service – permissioning request form**

This form is intended for use by customers of Registered Intermediating Broker Members (“RIBs”) of The London Metal Exchange (“LME”).

The form should be completed by the customer and submitted to the Clearing Member named below, in order to request that the Clearing Member permission the RIB (or RIBs) named below to arrange Agreed Trades on the customer’s behalf, and to input the details of these Agreed Trades into the inter-office trade registration system (“LMEsmart”) on behalf of the customer.

Completed forms should be returned to the specified Clearing Member.

<b>Clearing Member legal entity name (as per company registration)</b>	
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Customer details	
Legal entity name (as per company registration) (“Customer”)	
Name of trader	
Account number(s)	

RIBs to be permissioned for the accounts specified above		
	RIBs	Requested effective date
<input type="checkbox"/>	Freight Investor Services Limited	
<input type="checkbox"/>	GFI Brokers Limited	
<input type="checkbox"/>	ICAP Energy Limited	
<input type="checkbox"/>	JVA Brokers Limited	
<input type="checkbox"/>	Marex Spectron International Limited	
<input type="checkbox"/>	SCB Brokers SA	
<input type="checkbox"/>	SSY Futures Limited	
<input type="checkbox"/>	Tullett Prebon (Europe) Limited	

If you have any questions about this form or the Registered Intermediating Broker service, please email the Sales Team at [sales@lme.com](mailto:sales@lme.com).

**Disclaimer**

This form is a template for customers that is provided by the LME to facilitate the authorisation by a Clearing Member of one or more RIBs. The LME does not mandate that this template should be used. Clearing Members



may use alternative documentation for these purposes, and may also require additional information to be provided.

Where this form is used, the LME shall not be liable to:

- (a) the Clearing Member or any RIB for any inaccuracies in the information provided by the customer in this form, or otherwise; or
- (b) the customer for any acts or omissions of the Clearing Member in connection with permissioning the RIB (or RIBs), or any acts of omissions of the Clearing Member or any RIB in connection with any Agreed Trades or otherwise.

The person submitting this form warrants that it has the authority to do so on behalf of the customer and that it has all necessary rights and consents to submit the information set out in this form to the specified Clearing Member.

The customer shall notify the Clearing Member as soon as reasonably practicable where the information in this form is no longer accurate (including where the customer withdraws or replaces its nominated trader(s)).

**Signature**

Signature	
Name of signatory	
Job title	
Date of submission	