



# LMEsmart GUI Guide for RIBs

Version 1.1

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## Change History

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1.1	23 Feb 2022	Header	Changed document classification to public

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## Contents

<b>1</b>	<b>INTRODUCTION</b>	<b>5</b>
<b>2</b>	<b>LOGIN</b>	<b>6</b>
<b>3</b>	<b>TRADE ENTRY</b>	<b>8</b>
3.1	Entering an Outright	9
3.2	Entering a Carry	10
3.3	Editing entered trades	11
3.4	Copying entered trades	11
3.5	Deleting entered trades	11
3.6	Submitting trades for matching	12
3.7	Clear All	13
<b>4</b>	<b>TRADE MANAGEMENT</b>	<b>14</b>
4.1	Sorting	15
4.2	Columns – choosing	16
4.3	Columns - re-ordering	16
4.4	Actions	17
<b>5</b>	<b>REFERENCE DATA</b>	<b>18</b>
5.1	Trade Reference Data	18
5.2	Price Data	22
5.3	Member Contact Details	24
5.4	LME Contact Details	24
<b>6</b>	<b>USER MANAGEMENT</b>	<b>25</b>
6.1	Create New Web GUI User	25
6.2	Actions	26



<b>7</b>	<b>SYSTEM MANAGEMENT</b>	<b>27</b>
7.1	Messages	27
7.2	4-Eyes Checks	28
7.3	Member Management	29
<b>8</b>	<b>SUPPORT</b>	<b>30</b>
<b>9</b>	<b>GLOSSARY</b>	<b>31</b>
<b>10</b>	<b>APPENDIX A – TRADE ENTRY FIELD DICTIONARY</b>	<b>34</b>
<b>11</b>	<b>APPENDIX B – TRADE ENTRY FIELD REQUIREMENTS</b>	<b>36</b>
<b>12</b>	<b>APPENDIX D – TRADE MANAGEMENT FIELD DICTIONARY</b>	<b>36</b>



# 1 Introduction

This document provides a guide for Registered Intermediating Brokers (RIBs) in how to use the GUI of the LME's matching service (LMEsmart). LMEsmart provides a post-trade registration and matching service for LME venues. It includes specific functionality to allow RIBs to register Agreed Trades that they arrange between their Clients for clearing by those Clients' elected Clearing Members. For the avoidance of doubt, RIBs cannot become a party to any LME transaction, and cannot take a position (in their capacity as a RIB) in an LME Contract in their own name.

This guide covers how RIBs can register trades via the GUI and receive updates on the status of those trades as they are accepted or rejected by the clearers involved. The guide also covers the functions available to carry out various administrative tasks.

The GUI is a web site accessible on a URL as provided by the LME over LMEnet services. It is currently supported on the following browsers (it may work on other browsers but is not officially supported on these):

- Google Chrome (recommended)
- Internet Explorer 11

The GUI provides the following key screens, each of which is discussed in more detail in the following sections:

- Trade Entry Process Overview
- Login
- Trade Entry
- Trade Management
- Trade History
- Reference Data
- User Management
- System Management

Note that which screens and functions are available are controlled by a specific user's role so not all of the above are available to all users. Which screens are accessible to which role is discussed in more detail in each section.



## 2 Trade Entry Process Overview

The LMEsmart GUI allows RIBs to register agreed trades that they arrange between their Clients for clearing by those Clients' elected Clearing Members.

RIBs must establish the RIB Scope of Authority (as defined in Regulation 2.12 of Part 3 of the LME Rulebook) before they arrange any trade on behalf of a Client. The Client's Clearing Member must also use the LMEsmart GUI to permission the RIB accordingly on the Client's account. Any such granting of permission will take effect immediately (as will any revocation of permission).

Once this has been done, the process for the RIB to enter a trade is:

- Login to the LMEsmart GUI
- Go to the Trade Entry screen
- Enter the details of the trade (see section 4 for more details)
- Submit the trade

If the trade passes validation then the Clearing Member(s) will need to accept their side of the trade before it can proceed to matching and clearing. The status of any entered trade can be viewed from the Trade Management screen (see section 5).

If the trade fails validation or is rejected by the Clearing Member(s) then the RIB should work with the relevant Client(s) and Clearing Member(s) to arrange for the trade to be re-submitted.



### 3 Login

A user account is required to login to the LMEsmart RIB GUI.

The LME will initially setup one or more administrative user accounts for RIB members. The RIB member should contact [posttradeoperations@lme.com](mailto:posttradeoperations@lme.com) to arrange this. Thereafter, RIB members are responsible for creating other new user accounts as required – see section 7 for more details on creating new user accounts. RIB members are responsible for ensuring that the list of users with access to LMEsmart is kept up to date, e.g. if any members of staff leave the RIB then their LMEsmart account should be deleted if they had one.

On the first time of using the GUI on a given browser, the user will be presented with a prompt to accept the cookie policy. Once this has been accepted one time then the user will then always be taken directly to the *Login* screen on accessing the GUI, i.e. they do not have to accept the cookie policy again. The *Login* screen is shown below.

Figure 1 - Login Screen

The screenshot shows the LMEsmart login interface. At the top left is the LME logo with the text 'An HKEX Company'. At the top right is the text 'LMEsmart' and 'System date: 26/07/2016 Login'. The main form area contains two input fields: 'Username' and 'Password'. Below the 'Password' field is a 'Submit' button. Underneath the 'Submit' button is a link that says 'I've forgotten my password'. At the bottom left of the page, there is contact information for the LME Helpdesk: 'LME Helpdesk', '+44 (0)20 7264 5555', and 'lmehelpdesk@lme.com'.

The user should enter their username and password and click *Submit* to login. On successful login the user will be taken to the *Dashboard* screen (see next section).

If the user has forgotten their password they should click the “I’ve forgotten my password” link and follow the instructions that will enable them to receive a reset link via email.

User accounts can only be used by one user; if an attempt is made to login with an already in use account then the user will be prompted to logoff the other session.

Login credentials are confidential and must not be shared between users.



## 4 Trade Entry

The *Trade Entry* screen allows users to register new trades. Users with the following roles have access to this screen:

- Member Super User
- Member Input

This screen is only accessible when the LMEsmart system is in the Open state (typically between 01:00 and 20:00).

An example of the *Trade Entry* screen is shown below.

Figure 2 - Trade Entry Screen

The screenshot shows the LMEsmart Trade Entry screen. At the top, there is a navigation bar with 'Trade Entry' highlighted. Below this is the 'TRADE ENTRY' section, which is split into two main areas: an entry panel and a trade list.

**Entry Panel:** This area has a light yellow background and contains two columns of input fields. The left column is for the 'Buyer' and the right column is for the 'Seller'. Each column includes fields for Customer, Clearer, Trader, Account, and Private Ref. Below these are fields for Trade Date, Time, Template, Market, Contract, Volume (Bt), Traded Price, Traded Premium, Strike, Call/Put, Volatility, Prompt/Expiry, In Ship Trade, Start Date, End Date, and Underlying Price. At the bottom of the entry panel are buttons for 'Add (Enter)', 'Cancel', and 'Invert Positions'.

**Trade List:** This is a table at the bottom of the screen. The columns are: #, ID Member, Trade Date, Time, Template, Market, Contract, Volume, Traded Price, Traded Premium, Strike, Call/Put, Volatility, Prompt/Expiry, Strip, Start Date, End Date, Underlying Price, Buyer (sub-columns: Buying Customer, Buying Clearer, Buying Trader, Buying Acc).

The screen is split into two main sections:

- **Entry Panel** – the area with a light yellow background in the screen shot above. This is where the user enters the details of the trade. The screen allows for entry of both halves of the trade i.e. both buyer and seller details. The user can tab between fields in the entry area for speed of entry.
- **Trade List** – each row represents an outright trade or the leg of a carry trade. The user can enter multiple outrights and/or carries before submitting the entire contents of the trade list to the matching system. If the user clicks on a row in this list then the details will be shown in the *Entry Panel* and can be edited there (if the trade has not yet been submitted). See section 4.3 for more on editing a trade.



### 4.1 Entering an Outright

To enter the two halves of an outright trade the user must first select the the template to use for entry by selecting one of the following from the *Template* field:

- F (Future)
- T (Traded Option)
- A (TAPO)

The template selected controls which fields are available for input and which fields are mandatory. Fields that are mandatory for a given template are marked with an asterisk on screen once the template is selected.

Appendix A outlines the full field entry requirements for each template and trade type.

Once the template is selected the user should then enter data in each input box as appropriate. The user can tab between each input box and use the drop down controls to select items where drop downs are available. Where a drop down is available the user can also just type in the value, e.g. the user can just type “CAD” into the *Contract* input box rather than selecting this from the drop down.

As the user enters values they will appear in the corresponding columns in the *Trade List* in the bottom row as shown below. For example in this case the user has just entered the Market (LME) which shows in the list, but not yet the Contract so this is empty in the list.

Figure 3 - Entering an outright

**TRADE ENTRY**

Common														Buyer								
#	IB Member	Trade Date	Time	Template	Market	Contract	Volume	Traded Price	Traded Premium	Strike	Call/Put	Volatility	Prompt/Expiry	Strip	Start Date	End Date	Underlying Price	Buying Customer	Buying Clearer	Buying Trader	Buying Acc	
1	IB1	04/07/2018	13:15	F	LME									No								



Once the user has entered all the necessary values they can then either press enter or click on the *Add (Enter)* button. This indicates the user has finished entering that trade and creates a new empty template for entry in the *Entry Panel*.

Note that nothing has been submitted to the matching system at this point. The trades are just held on screen in the *Trade List* until the user clicks on the *Submit* button (see below).

If the user decides they do not wish to add the entry from the *Entry Panel* then they can click *Cancel* to stop adding the new trade half rather than clicking on *Add (Enter)*.

## 4.2 Entering a Carry

The general principle for entering a carry is to enter a single row with the *C (Carry)* template which contains the detail of the trade half and also represents the first leg of the carry and then multiple rows with the *L (Leg)* template which only contain detail that is different in that leg (i.e. price, volume, prompt, buyer/seller). The legs do not need to be entered in any order (however the system may automatically re-order the legs at another stage).

For example to enter a two-legged carry the sequence of actions is:

- Select *C (Carry)* in the *Template* field in the *Entry Panel*.
- Enter the details of the trade half including the first leg of the carry in the *Entry Panel*.
- Press *Enter* on the keyboard or click on the *Add (Enter)* button.
- Select *L (Leg)* in the *Template* field in the entry panel.
- Enter the details of the second leg of the carry in the *Entry Panel*.
- Press *Enter* on the keyboard or click on the *Add (Enter)* button.

This results in the following on screen:

**Figure 4 - Entering a carry**

Common													
#	IB Member	Trade Date	Time	Template	Market	Contract	Volume	Traded Price	Traded Premium	Strike	Call/Put	Volatility	Prompt/Expiry
1	IB1	04/07/2018	13:15	C	LME	CAD	5	4000					3
2	IB1			L			5	4500					3
▶* Copy down (F7)													

As with entering an outright, nothing has been submitted to the matching system at this point. The trades are just held on screen in the *Trade List* until the user clicks on the *Submit* button (see below).

When entering a carry, the user may make use of the *Invert Positions* button, which switches the Buyer and Seller details for the trade entry row which is currently being edited.



### 4.3 Editing entered trades

If a trade has not yet been submitted to the matching system then it can be edited. To edit, the user must select the row, and its values will populate the *Entry Panel*. The user can then change these values and the *Trade List* will update as appropriate. The system gives a visual clue that the user is editing an existing row by colouring the *Entry Panel* in a different colour when doing this as shown below. In this example the user has selected the first row (for AHD) for editing.

Figure 5 - Editing a trade

TRADE ENTRY

Common																Buyer						
#	ID Member	Trade Date	Time	Template	Market	Contract	Volume	Traded Price	Traded Premium	Strike	Call/Put	Volatility	Prompt/Expiry	Strip	Start Date	End Date	Underlying Price	Buying Customer	Buying Clearer	Buying Trader	Buying Acc	
1	001	04/07/2018	11:11	F	LME	AHD	5	4000					3	No				Metals Co	AAA	Jane Smith	AAA_C_1111	Delete
2	001	04/07/2018	11:11	F	LME	CAD	5	4000					3	No				Metals Co	AAA	Jane Smith	AAA_C_1111	Delete
3	001	04/07/2018	11:11	F	LME	CO2	5	4000					3	No				Metals Co	AAA	Jane Smith	AAA_C_1111	Delete

When editing a row two additional function buttons are available:

- *Revert Changes* - to lose any changes made since row was first selected.
- *Delete Row* - to remove the specific row from the trade list

*Page Up* and *Page Down* keys can be used to open the row above or below the currently selected item. These keys will only work if no changes have been made since the trade half was opened using the entry panel.

### 4.4 Copying entered trades

If the user wishes to enter a trade similar to the last one they entered they can use the copy down function to take a copy of the last row in the *Trade List* and then edit this as described above.

This can be done in two ways:

- By clicking on the *copy down* button at the bottom of the *Trade List*
- By pressing the *F7* key on the keyboard

### 4.5 Deleting entered trades

If a trade has not yet been submitted to the matching system then it can be deleted.

This can be done in two ways:

- By selecting the row for edit and using the *Delete Row* button in the *Entry Panel*
- By clicking *delete* next to the row in the *Trade List*



### 4.6 Submitting trades for matching

To submit the entries in the *Trade List* to the matching system the user should click on the *Submit* button. The GUI will then attempt to submit all the trades in the table that are not yet submitted.

The system will carry out validation on each trade and if this is passed then the trade will go into the PENDING ACCEPTANCE state. Rows that have been successfully submitted will be shown in green in the trade list with “submitted” next to them. The user can no longer edit these trades in any way from the *Trade Entry* screen. They will also be visible from the *Trade Management* screen. An example of successfully submitted rows is shown below.

Figure 6 - Successful submission

TRADE ENTRY

Common															Buyer							
#	ID Member	Trade Date	Time	Template	Market	Contract	Volume	Traded Price	Traded Premium	Strike	Call/Put	Volatility	Prompt/Expiry	Strip	Start Date	End Date	Underlying Price	Buying Customer	Buying Clearer	Buying Trader	Buying Acc	
1	IB1	04/07/2018	11:11	F	LME	AHD	5	4000					3	No				Metals Co	AAA	Jane Smith	AAA_O_1111	Submitted
2	IB1	04/07/2018	11:11	F	LME	COG	5	4000					3	No				Metals Co	AAA	Jane Smith	AAA_O_1111	Submitted

If a given trade fails system validation then it will remain on the trade list and any error will be flagged to the user in the form of red highlighting and an explanation of the error when the user clicks on the affected field. An example of this is shown below where the user has entered an invalid price. A trade that has failed system validation will be in the REJECTED state and will be visible on the *Trade Management* screen.

Figure 7 - Trade fails validation

TRADE ENTRY

Common															Buyer							
#	ID Member	Trade Date	Time	Template	Market	Contract	Volume	Traded Price	Traded Premium	Strike	Call/Put	Volatility	Prompt/Expiry	Strip	Start Date	End Date	Underlying Price	Buying Customer	Buying Clearer	Buying Trader	Buying Acc	
1	IB1	04/07/2018	11:11	F	LME	COG	5	4000.0000					3	No				Metals Co	AAA	Jane Smith	AAA_O_1111	Rejected

Note that to aid the user some simple validation of entry is done at the GUI interface and feedback provided immediately on screen (before the user submits). In this case the trade is not in the REJECTED state as it has not yet been submitted to the system.



If a given trade fails validation then the GUI will still carry on and attempt to submit other trades in the *Trade List* that are not yet submitted, i.e. the user may end up with a combination of successfully submitted rows and rows that failed validation as shown below. In this example the 2<sup>nd</sup> row has failed validation because of an invalid price, whereas rows 1 and 3 were submitted successfully.

Figure 8 - Multiple failed validations

**TRADE ENTRY**

Trade Date: 04/07/2018

Time: 11:11

Template: F

Market: LME

Contract: CAD

Volume (Lots): 5 Price invalid

Traded Price: 4000.0000

Traded Premium:

Strike:

Call/Put:

Volatility:

Prompt/Expiry: 3

Is Strip Trade: No

Start Date: 02/04/2018/11/11

End Date: 02/04/2018/11/11

Underlying Price:

**Buyer**

Customer: Metal Co

Clearer: AAA

Trader: Jane Smith

Account: AAA\_C\_1111

Private Ref: aaa\_ref

**Seller**

Customer: Abroad Inc

Clearer: BBB

Trader: Bob Jones

Account: BBB\_C\_0000

Private Ref: bbb\_ref

Delete Row (F8) Revert Changes Invert Positions

Common														Buyer								
#	IB Member	Trade Date	Time	Template	Market	Contract	Volume	Traded Price	Traded Premium	Strike	Call/Put	Volatility	Prompt/Expiry	Strip	Start Date	End Date	Underlying Price	Buying Customer	Buying Clearer	Buying Trader	Buying Acc	
1	BBT	04/07/2018	11:11	F	LME	CAD	5	4000				3	No					Metal Co	AAA	Jane Smith	AAA_C_1111	Submitted
2	BBT	04/07/2018	11:11	F	LME	CAD	5	4000.0000				3	No					Metal Co	AAA	Jane Smith	AAA_C_1111	Failed
3	BBT	04/07/2018	11:11	F	LME	CAD	5	4000				3	No					Metal Co	AAA	Jane Smith	AAA_C_1111	Submitted

\* Add New (F7)    Copy down (F7)

The user can correct any errors in non-submitted trades and attempt to submit again by clicking on *Submit* again.

### 4.7 Clear All

To clear the *Trade List* the user can click on the *Clear All* button at the foot of the screen. This will remove all entries in all states from the *Trade List*, i.e. it will clear both submitted and non-submitted entries.



## 5 Trade Management

The *Trade Management* screen allows users to view lists of trade halves entered on the current day and apply filters and sorting to these. Users with the following roles have access to this screen:

- Member Super User
- Member Input
- Member View Only

An example of the view is shown below.

Figure 9 - Trade Management Screen

RIB TRADE MANAGEMENT

Columns

RIB TRADE MODULE DATA

ITrade M...	Trade Date	ITime	IState	IContract Type	IMarket	IContract	IVolume L...	ITraded Price	ITraded Pr...	IStrike	ITCP	IVolatility	IPrompt...	INderly...	IB Clearer	IB Custo...	IB Trader	IB Account	IB Priv Ref	IS Clearer	IS Custo...	IS Trader	IS T...	
1-20180704...	04/07/2018	11:11:00.000	Pending Ac...	F	LME	CAD	2	5000							AAA	Metall Co	Jane Smith	AAA_C_1111	aaa_ref	BBB	Alloyz Inc	Bob Jones	BBB	
1-20180704...	04/07/2018	11:11:00.000	Pending Ac...	F	LME	CAD	2	4750							3M	BBB	Alloyz Inc	Bob Jones	BBB_C_0000	bbb_ref	AAA	Metall Co	Jane Smith	AAA
1-20180704...	04/07/2018	11:11:00.000	Pending Ac...	F	LME	CAD	2	5000							AAA	Metall Co	Jane Smith	AAA_C_1111	aaa_ref	BBB	Alloyz Inc	Bob Jones	BBB	
1-20180704...	04/07/2018	11:11:00.000	Pending Ac...	F	LME	CAD	2	4750							3M	BBB	Alloyz Inc	Bob Jones	BBB_C_0000	bbb_ref	AAA	Metall Co	Jane Smith	AAA
1-20180704...	04/07/2018	11:11:00.000	Pending Ac...	F	LME	CAD	5	4000							3M	AAA	Metall Co	Jane Smith	AAA_C_1111	aaa_ref	BBB	Alloyz Inc	Bob Jones	BBB
1-20180704...	04/07/2018	11:11:00.000	Pending Ac...	F	LME	CAD	5	4000							3M	AAA	Metall Co	Jane Smith	AAA_C_1111	aaa_ref	BBB	Alloyz Inc	Bob Jones	BBB

Each row in this list represents one side in a single leg of a trade, i.e. a two-leg carry trade will consist of two rows for that side. For example, the top two rows in Figure 12 represent two legs of a carry trade on the buyer side.

For each trade entered on the *Trade Entry* screen, two copies of that trade will be displayed on the *Trade Management* screen, one for each side of the trade. Each copy will be highlighted to indicate which side it relates to, and therefore which clearer is responsible for accepting it. An example is shown below. In this example, the two rows correspond to a single row entered on the *Trade Entry* screen. The first row represents the Buyer side, so the Buyer fields are highlighted; this must be accepted by the Buyer Clearer. The second row represents the Seller side, so the Seller fields are highlighted.

ITrade Module Id	ITrade Date	ITime	IState	IContract Type	IMarket	IContract	IVolume L...	ITraded Price	ITraded Pr...	IStrike	ITCP	IVolatility	IPrompt...	INderly...	IB Clearer	IB Custo...	IB Trader	IB Account	IB Priv Ref	IS Clearer	IS Custo...	IS Tria	
1-20180704-00000001-1	04/07/2018	11:11:00.000	Pending Ac...	F	LME	CAD	5	4000							3M	AAA	Metall Co	Jane Smith	AAA_C_1111	aaa_ref	BBB	Alloyz Inc	Bob Jon
1-20180704-00000001-2	04/07/2018	11:11:00.000	Pending Ac...	F	LME	CAD	5	4000							3M	AAA	Metall Co	Jane Smith	AAA_C_1111	aaa_ref	BBB	Alloyz Inc	Bob Jon

Trades shown in the list will update in real time. For example any new trades registered should appear in the list and any trades that are accepted or rejected by the clearer will show this state change.

All times shown on the screen are stored and displayed in UTC time.



## 5.1 Sorting

By default the trade list is sorted on Time with the oldest trades at the top of the list.

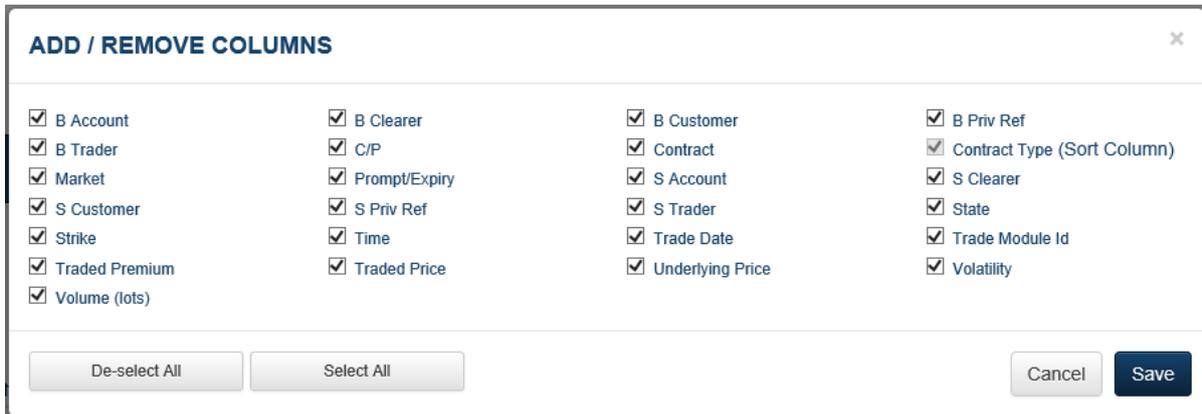
The sort order can be changed by clicking on the sort icon in any of the column headings.



## 5.2 Columns – choosing

By default, all columns are shown when this screen is first loaded. The user can change which columns are shown by clicking on the *Columns* button. This will bring up a dialog allowing the user to select columns to display.

Figure 10 - Choosing columns for display



The user can check which columns they wish to display and then click on *Save*. There are also buttons to allow selection of no columns, all columns or the default set of columns. For a description of all the columns see Appendix D.

Note that the columns chosen will not be saved if the user navigates away from the *Trade Management* screen or uses the browser refresh mechanism.

## 5.3 Columns - re-ordering

A default order of columns is shown when a filter is first applied on this screen. The user can change the order of these columns by dragging the column headings and dropping them in the new required order.



## 5.4 Actions

All trade halves shown in the trade list have an *Actions* button at the end of their row. On click this will show actions that are applicable to that row and available to that user. An example is shown in the screenshot below.

Figure 11 - Trade Management Actions

**RIB TRADE MANAGEMENT**

Columns

**RIB TRADE MODULE DATA**

Trade Id	Trade Date	Time	State	Contract Type	Market	Contract	Volume	Traded Price	Traded Pre	Strike	ICP	Volatility	Throught	Indenty	IB Clearer	IB Conto	IB Trader	IB Account	IB Priv Ref	IB Clearer	IB Conto	IB Trader	IB f		
1-20180704...	04072018	11:11:00.000	Pending Ac...	F	LME	CAD	5	4000			3M	AAA		Metal Co	Jane Smith	AAA_C_1111	aaa_ref	BBB	Allyz Inc	Bob Jones	BBB	Allyz Inc	Bob Jones	BBB	Actions
1-20180704...	04072018	11:11:00.000	Pending Ac...	F	LME	CAD	5	4000			3M	AAA		Metal Co	Jane Smith	AAA_C_1111	aaa_ref	BBB	Allyz Inc	Bob Jones	BBB	Allyz Inc	Bob Jones	BBB	Actions
1-20180704...	04072018	11:11:00.000	Pending Ac...	F	LME	CAD	2	4750			3M	BBB		Allyz Inc	Bob Jones	BBB_C_2000	bbb_ref	AAA	Metal Co	Jane Smith	AAA	Metal Co	Jane Smith	AAA	Actions
1-20180704...	04072018	11:11:00.000	Pending Ac...	F	LME	CAD	2	5000			CASH	AAA		Metal Co	Jane Smith	AAA_C_1111	aaa_ref	BBB	Allyz Inc	Bob Jones	BBB	Allyz Inc	Bob Jones	BBB	Actions
1-20180704...	04072018	11:11:00.000	Pending Ac...	F	LME	CAD	2	4750			3M	BBB		Allyz Inc	Bob Jones	BBB_C_2000	bbb_ref	AAA	Metal Co	Jane Smith	AAA	Metal Co	Jane Smith	AAA	Actions
1-20180704...	04072018	11:11:00.000	Pending Ac...	F	LME	CAD	2	5000			CASH	AAA		Metal Co	Jane Smith	AAA_C_1111	aaa_ref	BBB	Allyz Inc	Bob Jones	BBB	Allyz Inc	Bob Jones	BBB	Actions

The following sections describe each of the possible actions. Not all actions are available for all trades and all users, with only those that are applicable to a given row shown on clicking.

### 5.4.1 View Trade Halves

This action is available for all rows for all users with access to the *Trade Management* screen.

Each trade entered on the *Trade Entry* screen corresponds to some number of trade halves within the system. This action will bring up a pop-up window which displays the details of all the trade halves generated for this side of the trade.

If the row is part of a carry trade then a button will be displayed for each trade half to bring up an additional dialog with details of the legs of that trade half. An example is shown below for a carry trade.

Figure 12 - View Trade Halves

**VIEW DETAILS**

Trade Half Id	Member	Counterparty	Account Type	Account Code	Client Id	Client Code	Trading Capacity	Comm Deriv Ind	City Branch Client	Client Short Code	Decision Maker Short Code	
10088	BBB	AAA	H				AOTC	Y			View Legs	
10089	BBB	BBB	H	9999	9999	CODE1111	AOTC	Y	GB	9999	7777	View Legs
10070	BBB	BBB	C	9999	5555	CODE1111	AOTC	Y	GB	9999	7777	View Legs

Close



## 6 Reference Data

The *Reference Data* screens allow users to view static data held in the system. Users with the following roles have access to these screens:

- Member Super User
- Member Input
- Member View Only
- Member IT User

Each of the sub-screens under Reference Data is described in the following sections.

### 6.1 Trade Reference Data

#### 6.1.1 Markets

This screen displays markets configured in the system.

An example of this screen is shown below.

**Figure 13 - Markets**

MARKETS		
Market	Booking Model	Status
EOS	T2	Enabled
LBMA		Enabled
LME	T4	Enabled



### 6.1.2 Contracts

This screen displays contracts configured in the system. A contract is identified by its contract code which is a combination of product code (e.g. AH) and currency code (e.g. D).

An example of this screen is shown below.

Figure 14 - Contracts

The screenshot shows a web interface titled "CONTRACTS" with an "Export" button in the top right corner. Below the title is a table with the following columns: Contract Code, TAPO, Option, Future, and Status. Each row represents a contract code and lists available view options for that contract.

Contract Code	TAPO	Option	Future	Status
AAD	<a href="#">View TAPO's Details</a>	<a href="#">View Option's Details</a>	<a href="#">View Future's Details</a>	<a href="#">View Calendar</a> <a href="#">View Gradations</a> Enabled
AAE		<a href="#">View Option's Details</a>	<a href="#">View Future's Details</a>	<a href="#">View Calendar</a> <a href="#">View Gradations</a> Enabled
AAS		<a href="#">View Option's Details</a>	<a href="#">View Future's Details</a>	<a href="#">View Calendar</a> <a href="#">View Gradations</a> Enabled
AAY		<a href="#">View Option's Details</a>	<a href="#">View Future's Details</a>	<a href="#">View Calendar</a> <a href="#">View Gradations</a> Enabled
AED			<a href="#">View Future's Details</a>	<a href="#">View Calendar</a> Enabled
AHD	<a href="#">View TAPO's Details</a>	<a href="#">View Option's Details</a>	<a href="#">View Future's Details</a>	<a href="#">View Calendar</a> <a href="#">View Gradations</a> Enabled
AHE		<a href="#">View Option's Details</a>	<a href="#">View Future's Details</a>	<a href="#">View Calendar</a> <a href="#">View Gradations</a> Enabled
AHS		<a href="#">View Option's Details</a>	<a href="#">View Future's Details</a>	<a href="#">View Calendar</a> <a href="#">View Gradations</a> Enabled
AHY		<a href="#">View Option's Details</a>	<a href="#">View Future's Details</a>	<a href="#">View Calendar</a> <a href="#">View Gradations</a> Enabled

For each contract the user has a number of other views available:

- **View XXXX Details** – shows details for given contract type for this contract. The details include the markets and venues it is traded on and the volumes and tick sizes allowed on those markets and venues.
- **View Calendar** – lists all the valid prompt dates for the given contract across all contract types. The calendar can be exported to a csv file.
- **View Gradations** – displays the strike price gradations allowed for the given contract

### 6.1.3 Contract Deadlines

This screen displays trading input and matching deadlines configured in the system for the current day. An example is shown below.

Figure 15 - Contract Deadlines

CONTRACT DEADLINES						
This screen shows deadlines configured for today. Any updates to these will only affect the current day. Deadlines will be reset to default deadlines at the end of the day.						
Search Commodity Code: <input type="text"/>						
Commodity Code	Future		Option		TAPO	
	Entry Deadline	Matching Deadline	Entry Deadline	Matching Deadline	Entry Deadline	Matching Deadline
AAD	12:30	13:30	18:00	18:15	18:00	18:15
AAE	12:30	13:30	18:00	18:15		
AAS	12:30	13:30	18:00	18:15		
AAY	12:30	13:30	18:00	18:15		
AED	12:30	13:30				
AHD	12:30	13:30	18:00	18:15	18:00	18:15
AHE	12:30	13:30	18:00	18:15		

### 6.1.4 Session Codes

This screen displays session codes configured in the system. These codes can be used in the time field for a venue that supports them (e.g. the Ring venue). An example is shown below.

Figure 16 - Session Codes

SESSION CODES		<a href="#">Export</a>
Trade Date	Session Code	
24/08/2016	C1	
24/08/2016	C2	
24/08/2016	C3	
24/08/2016	C4	
24/08/2016	K1	
24/08/2016	K2	
24/08/2016	K3	
24/08/2016	K4	



### 6.1.5 Ring and Kerb Sessions

This screen displays ring and kerb session times for contracts as configured in the system. If a session code is used for contract then the system will substitute the trade time as one minute before the session end. An example is shown below.

Figure 17 - Ring and Kerb Sessions

RING & KERB SESSIONS				
Trade Date	Session Code	Contract Code	Start Time	End Time
24/08/2016	C1	FMD	11:40:00	11:45:00
24/08/2016	R1	FMD	11:40:00	11:45:00
24/08/2016	C1	AAD	11:45:00	11:50:00
24/08/2016	C1	NAD	11:45:00	11:50:00
24/08/2016	R1	AAD	11:45:00	11:50:00
24/08/2016	R1	NAD	11:45:00	11:50:00
24/08/2016	C1	SND	11:50:00	11:55:00
24/08/2016	R1	SND	11:50:00	11:55:00

### 6.1.6 Currency Holidays

This screen displays all dates going forwards from today and indicates which dates are holidays for individual currencies. For example below 29/08/2016 is a holiday for the GBP currency.

Figure 18 - Currency Holidays

Trade Date	GBP	USD	JPY	EUR
Wednesday 24/08/2016				
Thursday 25/08/2016				
Friday 26/08/2016				
Monday 29/08/2016	x			
Tuesday 30/08/2016				
Wednesday 31/08/2016				
Thursday 01/09/2016				
Friday 02/09/2016				
Monday 05/09/2016		x		



## 6.2 Price Data

### 6.2.1 Close Prices Forward Curve

This screen displays the last set of closing prices imported into the system for the current day. Closing prices are used in price substitution where price code C has been used. An example is shown below.

Figure 19 - Closing Prices

CLOSING PRICES								
Trade Date	Contract Code	Contract Type	Prompt Date	Delta	Volatility	Strike	Call/Put	Price/Premium
25/08/2016	AHD	A	31/08/2016	1.0000	20.0000	5003.00	Call	5003.00
25/08/2016	AHD	A	30/09/2016	1.0000	20.0000	5004.00	Call	5004.00
25/08/2016	AHD	A	31/10/2016	1.0000	20.0000	5005.00	Call	5005.00
25/08/2016	AHD	A	30/11/2016	1.0000	20.0000	5006.00	Call	5006.00
25/08/2016	AHD	A	30/12/2016	1.0000	20.0000	5007.00	Call	5007.00
25/08/2016	AHD	F	15/06/2016					5001.00
25/08/2016	AHD	F	20/07/2016					5002.00
25/08/2016	AHD	F	17/08/2016					5003.00

### 6.2.2 Valuation Prices

This screen displays the last set of closing prices imported into the system for the previous day. These prices are used in price substitution where price code V has been used. An example is shown below.

Figure 20 - Valuation Prices

VALUATION PRICES								
Trade Date	Contract Code	Contract Type	Prompt Date	Delta	Volatility	Strike	Call/Put	Price/Premium
24/08/2016	AHD	F	15/06/2016					5001.00
24/08/2016	AHD	F	20/07/2016					5002.00
24/08/2016	AHD	F	17/08/2016					5003.00
24/08/2016	AHD	F	21/09/2016					5004.00
24/08/2016	AHD	F	05/10/2016					5005.00
24/08/2016	AHD	F	12/10/2016					5006.00
24/08/2016	AHD	F	19/10/2016					5007.00
24/08/2016	AHD	F	26/10/2016					5008.00



### 6.2.3 Settlement Prices

This screen displays the last set of settlement prices imported into the system for the current day. These prices are used in price substitution where price code S has been used. An example is shown below.

Figure 21 - Settlement Prices

SETTLEMENT PRICES					Export
Trade Date	Contract Code	Contract Type	Prompt Date	Price	
25/08/2016	AHD	F	30/08/2016	5005.00	
25/08/2016	AHD	F	25/11/2016	5015.00	

### 6.2.4 Mean Settlement Prices

This screen displays the last set of settlement prices imported into the system for the current day. These prices are used in price substitution where price codes MC or M3 have been used. An example is shown below.

Figure 22 - Mean Settlement Prices

MEAN SETTLEMENT PRICES					Export
Trade Date	Contract Code	Contract Type	Prompt Date	Price	
25/08/2016	AHD	F	30/08/2016	5002.00	
25/08/2016	AHD	F	25/11/2016	5012.00	

### 6.2.5 Yesterday's Settlement Prices

This screen displays the last set of settlement prices imported into the system for the previous day. These prices are used in price substitution where price code YS has been used. An example is shown below.

Figure 23 - Yesterday's Settlement Prices

YESTERDAY'S SETTLEMENT PRICES					Export
Trade Date	Contract Code	Contract Type	Prompt Date	Price	
24/08/2016	AHD	F	26/08/2016	5005.00	
24/08/2016	AHD	F	25/11/2016	5015.00	



### 6.2.6 Official FX Rates

This screen displays the last set of FX rates imported into the system for the current day. These rates are used in price banding validation. An example is shown below.

Figure 24 - FX Rates

FX RATES				
Trade Date	Rate Description	Date	Source Time	Rate
25/08/2016	EUR/USD	25/08/2016	15:01:44	1.1230
25/08/2016	GBP/USD	25/08/2016	15:01:44	1.1230
25/08/2016	USD/JPY	25/08/2016	15:01:44	1.1230

### 6.3 Member Contact Details

This screen displays contact details for all members enabled in the system. An example is shown below.

Figure 25 - Member Contact Details

MEMBER CONTACT DETAILS						
Member Name	Mnemonic	Category	Clearing Members	Member LEI	Matching Contact	Compliance Contact
AAA	AAA	1		LEI1		
ADM Investor Services International Ltd.	ADM	2				
Amalgamated Metal Trading Ltd.	AMT	1				
BBB	BBB	3		LEI2		
Bache Commodities Ltd.	BCH	2				
Barclays Capital	BMT	1				
Royal Bank of Canada Europe Ltd.	CAM	2				
CCC	CCC	1		LEI3		

### 6.4 LME Contact Details

This screen displays contact details for the LME. An example is shown below.

Figure 26 - LME Contact Details

LME CONTACT DETAILS	
Primary Telephone	+44 (0)20 7264 5555
Secondary Telephone	+44 (0)20 7264 5556
Email Address	lmehelpdesk@lme.com



## 7 User Management

The *User Management* screen allows users to view users for their member and add/edit users as required. Users with all roles have access to this screen, but the data and functions available vary. For users with the Member Super User or Member Admin roles then all functions are available and all users are shown. For other users they can only see the information for their own user.

An example of the view for a user with the Member Super User role is shown below (note some usernames and email address are obscured for security in this image).

Figure 27 - User Management

Username	Member	Role	Details	State	Last Login	Created By	Updated By	
[obscured]	AAA	Member Super User	[obscured] London	Offline		tomh	tomh	View Details
FXAAA1 [obscured]	AAA	Member Super User	FX AAA 1 - [obscured] London	Offline		tomh	tomh	View Details
[obscured]	AAA	Member Super User	[obscured] London	Offline		tomh	tomh	View Details
mem.admin@sinara.co.uk [obscured]	AAA	Member Admin	Member Admin London	Offline		tomh	tomh	View Details
mem.input@sinara.co.uk [obscured]	AAA	Member Input	Member Input London	Offline	24/08/2016 13:57:34	tomh	tomh	View Details
mem.it@sinara.co.uk [obscured]	AAA	Member IT User	Member IT London	Offline		tomh	tomh	View Details

There are a number of functions available on this screen which are described in the following sections.

### 7.1 Create New Web GUI User

To create a new user for the Web GUI then click on the *Create New* button. This will launch a pop-up screen where the user details can be entered. An example is shown below. Note that the username input does not have to be completed – this will default to the email address of the user.

Figure 28 - Create New Web GUI User

**NEW WEB USER** ✕

Role:

Email Address:

Username:

Full Name:

Location:

Phone Number:



## 7.2 Actions

Actions available against a given user can be seen by clicking on the drop down arrow at the end of that user's row. The actions available depend on the type of user and their current state. (note some usernames and email addresses are obscured for security in this image)

Figure 29 - User Actions

The screenshot shows a 'USER MANAGEMENT' interface with a search bar and two buttons: 'Create New' and 'Create New Fix'. Below is a table with columns: Username, Member, Role, Details, State, Last Login, Created By, and Updated By. A dropdown menu is open for the first user, showing actions: View Details, Reset Password, Expire Password, Disable, Update, Update Role \*, Duplicate, and Delete \*.

Username	Member	Role	Details	State	Last Login	Created By	Updated By
[obscured]	AAA	Member Super User	[obscured] London	Offline		tonh	tonh
0 FDXAAA1 [obscured]	AAA	Member Super User	FIX AAA 1 - [obscured] London	Offline		tonh	tonh
[obscured]	AAA	Member Super User	[obscured] London	Offline		tonh	tonh
[obscured]	AAA	Member Admin	Member Admin London	Offline		tonh	tonh
[obscured]	AAA	Member Input	Member Input London	Offline	24/08/2016 13:57:34	tonh	tonh
[obscured]	AAA	Member IT User	Member IT London	Offline		tonh	tonh

The full set of actions are as follows:

- **View Details** – shows the details for that user in a pop-up screen
- **Update User** – allows user details to be edited
- **Update User Role** – allows a user role to be edited
- **Delete User** – deletes the user. A deleted user will not be able to logon to the system.
- **Disable User** – disables the user. Once disabled their row will be displayed in red on screen. A disabled user will not be able to logon to the system.
- **Enable User** – enables a previously disabled user.
- **Reset Password** – if the user has forgotten their password this will send an email to them to reset it.
- **Expire Password** – this will expire the users password with immediate effect. They will need to reset it when they next login (which will send an email to them).
- **Duplicate User** – creates a duplicate of the current row for completion in the same way as Create New or Create New FIX.
- **Eject User** – only applicable to FIX users. This will forcibly end their session.
- **Unlock** – only available if a user has locked their account (e.g. by entering an invalid password a certain number of times)

Some actions require what is known as a “Four Eyes Check”, i.e. they require another user to authorize the action. These actions have an asterisk next to them in the actions list on screen. If a user carries out one of these actions then it will appear on the *Four Eyes Checks* screen under *System Management* for approval (see next section).



## 8 System Management

The *System Management* screens allow users to carry out various system management activities.

There are the following sub-screens under Reference Data, each of which is described in the following sections:

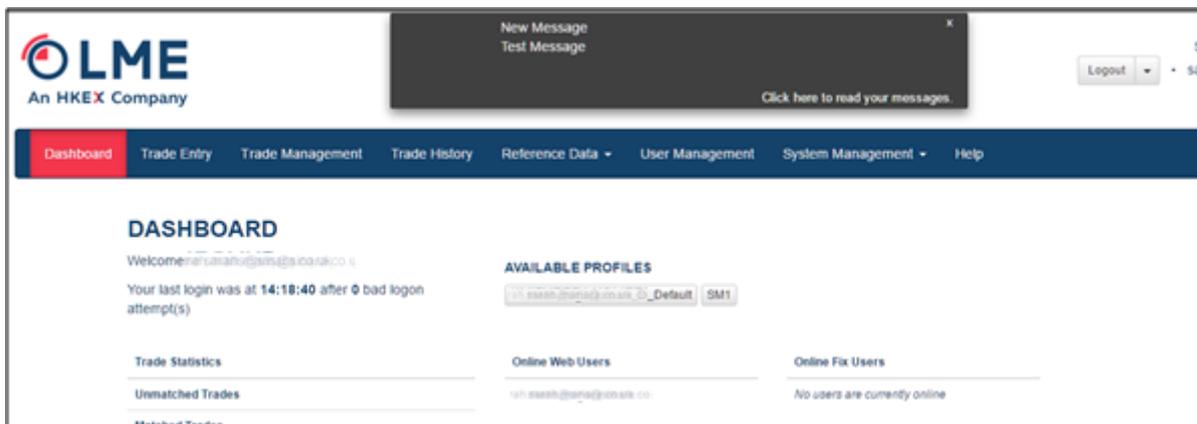
- Profile Management
- Messages
- 4-Eyes Checks
- Member Management

### 8.1 Messages

Users with all roles have access to this screen. It is used to view messages sent by the system administrators.

Initially any message sent will appear at the top of all screens. An example is shown below where there is a new message with text “Test Message”. If more than one message is waiting to be read then this will just display the number of messages waiting.

Figure 30 - Message Alert



The user can mark a message as read in three ways:

- By clicking on the X in the top right of the message alert
- By going to the *Messages* screen and clicking on the envelope icon next to the message as shown in the screenshot below.
- By going to the *Messages* screen and clicking on *Mark All Read*

Figure 31 - Unread Messages

PUBLISHED MESSAGES			<input checked="" type="checkbox"/> Mark All Read
	Time	Filter Description	Content
	25/08/2016 16:12:16	Global	Test Message



## 8.2 4-Eyes Checks

Users with the following roles have access to this screen:

- Member Super User
- Member Admin

Four Eyes Checks are required to authorise certain actions on the User Management screen, e.g. deleting a user. If one of these actions is undertaken then it will appear on this screen pending a check by another user. (Note in the screen shot below actual usernames are obscured for security).

Figure 32 - Four Eyes Checks

Type	Requested by	Request Time	Summary	State	Checked by	Check Time
Enable User	[obscured]	15:58:17	Enabling user: [obscured]	Pending		

There are two actions available (to someone who is not the originator of the action):

- Confirm – approve the original action
- Reject – reject the original action

There is one action available to the originator of the action:

- Cancel – cancels the action

Actions that have been confirmed or rejected today will still be displayed on this screen with the appropriate state.

### 8.3 Member Management

Users with the following roles have access to this screen:

- Member Super User
- Member Admin

This screen displays details for the member, including their permissions on different markets and currencies.

Figure 33 - Member Management

The screenshot displays the 'MEMBER MANAGEMENT' interface. It includes fields for Name, Mnemonic, Category, and LEI. There are sections for Matching Contact, Compliance Contact, and Emergency Contact Email Group. A 'Member Status' field shows 'Enabled'. A button labeled 'View Trade Management Highlighting Details' is present. Below is a 'PERMISSIONS' table with columns for EUR, GBP, JPY, and USD, and a row for LME showing 'Enabled' for all.

	EUR	GBP	JPY	USD
LME	Enabled	Enabled	Enabled	Enabled



## 9 Support

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## 10 Glossary

Term	Description
Account Type	The type of account a trade has been made from. There are four basic types of account – ISA (S), OSA (C), Gross OSA (G) and House (H). The first three are <b>Client Accounts</b> , the last is the member <b>House Account</b>
<b>Account Code</b>	An identifier for a specific <b>Client Account</b>
Carry	The simultaneous purchase and sale of the same tonnage of the same metal for delivery on different dates, e.g. a Cash – 3M carry could have a buy of 100 lots for Cash and a sell of 100 lots for 3M. The price of a carry is generally quoted as the price difference between the two prompt dates, with the price on which the differential is based to be agreed by the parties to the carry.
CCP	Clearing Counterparty. Responsible for clearing trades once they have been matched. Currently there is just one CCP used by the system for all trades - <b>LMEclear</b>
Clearing Member	The firm responsible for clearing one <b>Side</b> of a trade half. This may or may not be the same firm as the <b>Executing Member</b> for the same <b>Side</b> .
Client	Trades can be made on behalf of their clients by <b>Members</b> . Each client will have a Client Id with that member for use in position reporting
Client Account	Each <b>Client</b> of a member will have one or more client accounts with that member. If a client is with more than one member they will have separate client accounts with each one. E.g. client ABC could have accounts ABC001 and ABC002 with member XYZ and account ABC456 with member DEF. Each account is identified by an <b>Account Code</b> .
Client Cross	See <b>Client Trade</b>
Client Trade	A trade with a client <b>Account Type</b> on at least one side (i.e. one side has an account type corresponding to one of C, S or G). In the T4 Booking Model, a Client Trade can be identified at the half level as the <b>Member</b> and <b>Counterparty</b> of the trade are the same. In the T2 Booking model, a Client Trade cannot be directly identified at the half level. Both sides of the trade are required to identify if one side has a client account type.
Commodity	See <b>Contract</b>
Contract	In the matching system this term is used to refer to the combination of <b>Product</b> and currency, e.g. CAD is a contract. In different LME contexts a Contract is sometimes also referred to as a <b>Tradable Contract</b> , <b>Commodity</b> or <b>Symbol</b> .



Term	Description
Contract Type	Future (F), Option (T) or TAPO (A).
Counterparty	The <b>Executing Member</b> responsible for the opposite <b>Side</b> of a trade
Entering Member	The firm that physically enters a trade half. Usually the same as the <b>Executing Member</b> , but not always.
Exchange Trade	A trade where the <b>Account Type</b> on both sides is a <b>House Account (H)</b> In the T4 Booking Model, an Exchange Trade can be identified at the half level as the <b>Member</b> and <b>Counterparty</b> of the trade are different. In the T2 Booking model, an Exchange Trade cannot be directly identified at the half level. Both sides of the trade are required to identify if both sides have a house account type.
Executing Member	The firm that originates a trade half, that is it is responsible for one of the <b>Sides</b> of the trade. An executing member can trade on behalf of their <b>client accounts</b> or with their own <b>house account</b> . May be shortened to <b>Member</b> .
GUI	Graphical User Interface. In the case of the matching system the GUI is a web-based front end.
Half Trade	One half of a trade with each trade being made up of two halves. In a simple member to member trade the two halves would be entered separately by the two members. If a trade has multiple <b>legs</b> then it still only has two halves with the legs being contained in each of the halves.
House Account	Each member will have one of these for their own transactions.
Instrument	In the matching system this term is used to refer to the combination of <b>Contract</b> , <b>Contract Type</b> and Prompt Date. For example CAD-F-20161221 is an instrument.
Leg	For <b>carry</b> trades each prompt in the carry will have a leg in the trade. For example for a Cash - 3M carry there would be two legs – one for Cash and one for 3M.
LMEclear	The firm used as the clearing counterparty ( <b>CCP</b> )
LMEmercury	The clearing software system used by <b>LMEclear</b>
LMeselect	The LME's electronic trading platform. This sends trades to LMEmatching for matching and to then be sent to <b>LMEmercury</b> for clearing.
Market	In the current system only one market is supported (the LME), but in future other markets could be supported.
Member	<b>See Executing Member.</b>



Term	Description
Origin	The system where a trade half originated from, for example LMEselect, Member Web, Member FIX, LME Web. Although LMEselect is an Origin and a Venue, LMEselect Venue trade halves may have a different Origin.
Product	A product that can be traded. Examples of products are Copper (CA), Copper Mini (MN), Aluminium (AH) and Premium Aluminium – SE Asia (AS). Each Product has an <b>Underlying</b> metal. E.g. the AH and AS products both have AH (Aluminium) as the <b>Underlying</b> metal. When Product is combined with a currency code this gives a <b>Contract</b> (e.g. CAD, MND etc)
Side	Each trade has two sides, one for each half. Note that a side is <b>not</b> the same as buy/sell as in a carry the same side could be buying in one leg and selling in another (in an outright the side and buy/sell are the same thing, but it is better to use the consistent term of Side). However, there is a convention that Side 1 is always the side with a Buy in the first leg.
Strategy Trade	See <b>Trade Strategy</b>
Symbol	See <b>Contract</b>
Tradable Contract	See <b>Contract</b>
Trade Half	See <b>Half Trade</b>
Trade Strategy	A trade strategy involves grouping together one or more trades so they are all validated, matched and cleared as part of an atomic group.
Underlying	The underlying commodity for a <b>Product</b> . For example the Products CA and MC both have the Underlying of CA
User	A User is associated with a Member. A User is allowed to see all Trades entered by or alleged to a Member with which they are associated.
Venue	Where the trade was made, e.g. LMEselect, Telephone, Ring.



## 11 Appendix A – Trade Entry Field Dictionary

On screen Field Name	Format	Comments
Trade Date	DD/MM/YYYY (if using GB date format)	Selected from date picker
Time	HH:MM:SS.sss  or:  Session Code (e.g. R1)	Milliseconds can be omitted. Time should be entered in local time and will be saved in UTC by the system.
Template	Single character - F, T, A, C or L	One of the supported templates that control fields that can be entered
Market	String	Selected from drop down
Contract	String	Selected from drop down. A contract code is made up of the product and currency, e.g. CAD is made up of CA and D.
Traded Price	Decimal	
Volume (lots)	Integer	
Traded Premium	Decimal	
Strike	Decimal	
Call/Put	Single character – C or P	Selected from drop down
Volatility	Decimal	
Prompt/Expiry	DD/MM/YYYY (if using GB date format)	Selected from date picker
Is Strip Trade	Yes or No	Selected from drop down Select Yes to enter a start/end date for a strip trade; select No to enter a trade with a single Prompt/Expiry
Start Date	DD/MM/YYYY (if using GB date format)	Start date for a strip trade
End Date	DD/MM/YYYY (if using GB date format)	End date for a string trade
Underlying Price	Decimal	
Customer	String	Selected from drop down
Clearer	String	Selected from drop down



On screen Field Name	Format	Comments
Trader	String	Selected from drop down
Account	String	Selected from drop down
Private Ref	String	



## 12 Appendix B – Trade Entry Field Requirements

The table below outlines whether fields are required or not:

- Tick – field is required
- Cross – field is not permitted
- Blank – field can be entered but is not required

Which fields are required depending on the template and also whether the trade is an exchange or client trade. Fields marked with a cross are explicitly not allowed.

On screen Field	Outright Future Or First leg of Carry Future		Outright Option/TAPO		Carry Leg
	Exchange Trade	Client Trade	Exchange Trade	Client Trade	
Trade Date	✓	✓	✓	✓	x
Template	✓	✓	✓	✓	x
Time	✓	✓	✓	✓	x
Market	✓	✓	✓	✓	x
Contract	✓	✓	✓	✓	x
Volume (lots)	✓	✓	✓	✓	✓
Traded Price	✓	✓	x	x	✓
Traded Premium	x	x	✓	✓	x
Strike	x	x	✓	✓	x
Call/Put	x	x	✓	✓	x
Volatility	x	x	✓	✓	x
Prompt/Expiry*	✓	✓	✓	✓	✓
Is Strip Trade	✓	✓	✓	✓	x
Start Date*	✓	✓	✓	✓	x
End Date*	✓	✓	✓	✓	x
Underlying Price	x	x	✓	✓	x
Customer	✓	✓	✓	✓	✓
Clearer	✓	✓	✓	✓	✓
Trader	✓	✓	✓	✓	✓
Account	✓	✓	✓	✓	✓
Private Ref					

*\*Prompt/Expiry is required if Is Strip Trade is set to Yes. Start Date and End Date are required if Is Strip Trade is set to No*

## 13 Appendix D – Trade Management Field Dictionary

On screen Field Name	Description
B Account	The account entered on the Buyer side
B Clearer	The clearer entered on the Buyer side
B Customer	The customer entered on the Buyer side
B Priv Ref	The private reference entered on the Buyer side
B Trader	The trader entered on the Buyer side



On screen Field Name	Description
C/P	Call or Put. Only applicable to contract types Option (T) or TAPO (A). For Futures (F) this is null
Contract	The Product and Currency the trade is for concatenated together, e.g. CAD, AHE etc
Contract Type	The contract type of the trade, i.e. F (Future), T (Traded Option) or A (TAPO)
Market	The market of execution, e.g. LME
Prompt/Expiry	The prompt or expiry date. This may be an actual date e.g. "04/07/2018", or a short code, e.g. "3" for 3 months, "T" for Tom and "C" for Cash.  For strip trades, the start and end date will be displayed, e.g. "18/07/2018 – 19/09/2018" For average trades, the average code will be displayed, e.g. "3Q18"
S Account	The account entered on the Seller side
S Clearer	The clearer entered on the Seller side
S Customer	The customer entered on the Seller side
S Priv Ref	The private reference entered on the Seller side
S Trader	The trader entered on the Seller side
State	The current state of the trade:  Pending Acceptance – the trade is pending clearer's acceptance Accepted – the trade has been accepted by the clearer Rejected – the trade failed validation, or was rejected by the clearer
Strike	Only applicable to contract types Option (T) or TAPO (A). For Futures (F) this is null
Time	This is the time at which the contract was agreed by the counterparties. This could be earlier than the time it was actually entered into the system. In format HH:MM:SS.mmm and displayed in London time (i.e either GMT or BST).  If a Session Code was entered then this will be the time that the matching system substituted in (1 minute before the end of the session)
Trade Date	The market date of the trade (may not be the same as the system date when the trade is entered as given in the transaction time).
Trade Module Id	A unique internal identifier assigned by LMEsmart to identify each trade module. If a trade module has multiple legs then all legs will have this same Trade Module Id.
Traded Premium	Only applicable to contract types Option (T) or TAPO (A). For Futures (F) this is null



On screen Field Name	Description
Traded Price	The price entered for this trade
Underlying Price	Only applicable to contract types Option (T) or TAPO (A). For Futures (F) this is null
Volatility	Only applicable to contract types Option (T) or TAPO (A). For Futures (F) this is null
Volume (lots)	Number of lots in the trade half leg

