

Ferrous Liquidity Provider Programme HS001 – Participant Application Form



☐ By ticking this box and executing this Participant Application Form, the Participant agrees to comply with the terms of: (i) LME Incentive Programme General Terms; (ii) the specification for the Ferrous Liquidity Provider Programme HS001; and (iii) any terms that might be set out in this application form.

This form should be completed by the relevant Participant. Please ensure that the information provided is clear and correct. The completed form should be returned to the LME ferrous team by email to ferrous@lme.com

The LME shall treat all Personal Data provided to it by the Member and the Participant in accordance with the LME Privacy Statement, the current version of which can be found on the LME's website at <https://www.lme.com/legal/privacy-statement>

PARTICIPANT DETAILS

Name of Participant	
Contact name	
Company under which volume is to be accumulated	
Telephone number	
Email	
Primary trading location address	

TAG 81

PARTY ROLE 3 (CLIENT SHORT CODE)

LME member through which the Participant will trade

Please attach to this application further details on:

- the party's proposed arrangements, which may include the number and experience of its traders, utilisation of software, and IT support available;
- the party's knowledge of the ferrous industry and of the Eligible Contracts;
- the party's previous activity in the Eligible Contracts and in steel futures traded on Chinese exchanges;
- the party's participation in other LME incentive programmes; and
- the operational costs (such operational costs are likely to include the costs of staff responsible for electronic quoting, IT software and IT support) that are expected to be incurred in performing the required electronic quoting activities.

LME CLEARING MEMBER'S COMPLIANCE DEPARTMENT AUTHORISATION (to be completed and signed by the Clearing Member's compliance officer)

If the participant is an LME member, please note that only quotes and trades performed on the participant's own account (ie excluding all client business) will be eligible for consideration under this programme.

Name of signatory	
Job title	
Company	
Email address	
Date (dd/mm/yyyy)	
Signature	

LME CLEARING MEMBER AUTHORISATION (to be completed and signed by the Clearing Member)

Name of signatory	
Job title	
Company	
Email address	
Date (dd/mm/yyyy)	
Signature	

EXECUTING BROKER AUTHORISATION (to be completed and signed by the executing broker)

(NB where the executing broker and the Clearing Member are the same entity, you need only complete the Clearing Member section, but the compliance department of the LME member must always complete their section of this form)

☐ Executing broker and Clearing Member are the same

Name of signatory	
Job title	
Company	
Email address	
Date (dd/mm/yyyy)	
Signature	

Please return the completed form via email to ferrous@lme.com