

By ticking this box and executing this Participant Application Form, the Participant agrees to comply with the terms of: (i) LME Incentive Programme General Terms; (ii) the specification for the Liquidity On Orderbook Programme; and (iii) any terms that might be set out in this application form.

Please ensure that the information provided is clear and correct. Any errors in the completion of this form and/or any accompanying documents or spreadsheets may delay the processing of this application form and the subsequent processing of incentive payments so please ensure this form is completed accurately. The completed form and any accompanying documents and/or spreadsheets should be returned by email to incentive.programmes@lme.com

The LME shall treat all Personal Data provided to it by the Member and the Participant in accordance with the LME Privacy Statement, the current version of which can be found on the LME's website at <https://www.lme.com/en-gb/about-us/legal-disclaimer/privacy-policy/>

PARTICIPANT DETAILS

Contact name*

Company under which volume is to be accumulated¹

Telephone number

Email*

Primary trading location address

FIX Tag 81

LME member through which the Participant will Trade*
(Please state if the Participant is also a Member)

Unique application reference (this links this document with the excel file)*

*

LME MEMBER'S COMPLIANCE DEPARTMENT

AUTHORISATION (to be completed and signed by the LME member's compliance officer)

Name of signatory:

¹ Please ensure accurate spelling of 'Participant' name; as well as the 'Tag81' details precisely matching the associated 'client cross' booking (by the member) as any incorrect characters may lead to rebates not being calculated correctly, partially or no rebates being paid at all

Job title:

Company:

Email address:

Date (dd/mm/yyyy):

Signature:

LME CLEARING MEMBER DETAILS

AUTHORISATION (to be completed and signed by the Clearing Member)

Name of signatory:

Job title:

Company:

Email address:

Date (dd/mm/yyyy):

Signature:

Please return the completed form and any accompanying documents and/or spreadsheets via email to incentive.programmes@lme.com

LME MEMBER DETAILS

NB: where the executing broker and the Clearing Member are the same entity, you need only complete the Clearing Member section, but the compliance department of the LME member must always complete their section of this form. The executing broker may only be different to the clearing member where the executing broker is a Category 4 Member.

Executing broker and Clearing Member are the same

LME EXECUTING BROKER DETAILS (where different to the Clearing Member)

AUTHORISATION (to be completed and signed by the executing broker) Name of signatory:

Job title:

Company:

Email address:

Date (dd/mm/yyyy):

Signature: